In the United States Patent and Trademark Office

Applicant(s): Daniel Opperman Docket No.: 17209 452

Serial No.: 10/780,313 **Art Unit:** 3692 **Conf.No.:** 1068

Filed: 02/17/2004 Examiner: Ali, Hatem M.

For: Methods and Apparatus for Facilitating Financial Instrument Trading Orders

Mailstop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT/RESPONSE ACCOMPANYING RCE, 37 CFR §1.114

Sir:

Further to the Final Office Action dated April 27, 2009 (hereinafter "Office Action"), in view of the concurrently filed request for Request Continued Examination ("RCE"), and in view of the following amendments and/or remarks, Applicants request entry thereof and reconsideration of the above-referenced application.

Amendments to the Claims are reflected in the listing of claims begin on page 2.

Remarks begin on page 6 of this paper.

35 USC § 101 remarks begin on page 6 of this paper.

35 USC § 103 remarks begin on page 7 of this paper.